

GAU 2765 #11

TRANSMITTAL LETTER			Case No. 8406/4
Serial No. 08/868,200	Filing Date June 3, 1997	Examiner J. Patel	Group Art Unit 2765
Inventor(s) Howard B. Garber			
Title of Invention SYSTEM AND METHOD FOR TRADING HAVING A PRINCIPAL MARKET MAKER			

TO THE ASSISTANT COMMISSIONER FOR PATENTS

Transmitted with is Amendment.

- ☒ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☐ Petition for a \_\_\_ month extension of time.
- ☐ No additional fee is required.
- ☐ The fee has been calculated as shown below:

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**MAR 17 1999**

**Group 2700**

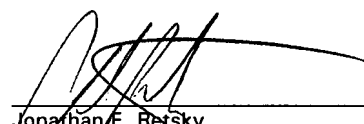
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra
Total		Minus		
Indep.		Minus		
First Presentation of Multiple Dep. Claim				

Small Entity	
Rate	Add'l Fee
x \$11 =	
x \$41 =	
+ \$135 =	
total add'l fee	\$

Other Than Small Entity	
Rate	Add'l Fee
x \$22 =	
x \$82 =	
+ \$270 =	
total add'l fee	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$\_\_\_\_. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$\_\_\_ to cover the filing fee is enclosed.
- ☒ The Assistant Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

  
 Jonathan E. Retsky  
 Registration No. 34,415  
 Attorney for Applicant

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on March 10, 1999.

Date:

3/10/99

Signature:

